

Program

Financial Markets Law

Accounting and Finance

W1- W2 → Course introduction Financial markets Law Concept, And Historical Background, Financial Markets Legal Sources.

Concept

Financial Markets Law, Justification

Characters of Financial law

Principles

Regulations, Historical Background

Legal Sources

Financial activity

W3 → savers issuers - Listed Companies

Saver

Saver

Investor

MIFID

Issuers

Listed Companies

General Notions

W4 → Financial Market. Authorities.

Bank of Spain. BDE (Guarantee Funds)

National Commission on the Securities Market (CNMV) (Guarantee Funds)

Directorate for Insurance and Pension Funds (DGSFP)

Settlements, Clearing and Netting Systems

Others (Fund for Orderly Bank Restructuring)

W5 → SECURITIES MARKET LAW (Markets)

Primary markets

Secondary Markets

- 2.1 Stock Exchange
- 2.2 Other Markets.

Public Debt Market

Options and Future Markets

Public offering shares acquisition (OPAS).

W6→Standards of conduct and market abuse

Standards of conduct

Market abuse

W7→ SECURITIES MARKET LAW Investment Operators

Investment Services Entities

- Securities Companies
- Securities Agencies
- Portfolio Management Societies
- Financial Advisory Entities

Investments Services and Ancillary Services

Collective Investment Institutions

- Collective Investment Funds
- Collective investments Companies
- Management Companies of Collective investments Companies

W8→ SECURITIES CONTRACTING

Concept

- Stock market commission contract
- Stock market Purchase contract

Investment portfolio Management contract

Underwriting and placement of securities issues.

Securities deposit contract

Securities register Bookkeeping contract

Securities loan contract

W9→ CREDIT/BANKING MARKET LAW Credit/banking Institutions

Credit Entities: Banks, Saving Banks, Cooperative Banks

Acceptance Corporations/Financial Services

Electronic Money Entities

W10→ CREDIT MARKET. Contracting

- Checking Account
- Orders
- Deposit
- Banking Loan
- Banking Credit
 - Discount
 - Credit consumer
 - Letter of credit
- Bank Cards
- Rental Safes
- *Parabanking* Hiring

W11→ INSURANCE FINANCIAL MARKETS PARTICIPANTS.

INSURANCE INTERMEDIATION ACTIVITY.

- Become an insurance intermediary.
- Intermediation agents types

W 12- INSURANCE MARKET LAW Insurance Hiring

- Definition
- Features
- Form
- Parties
- Purpose
- Worksheet application
- Effects

W13 INSURANCE MARKET LAW Insurance figures

Damage Insurance

- Fire Insurance ,Theft, Terrestrial transportation, Profits cease, Credit , Bond of indemnity, Legal aid, Civil Liability, Car insurance

Individual Insurance

- Life, Accidents, Health
- Life Insurance Public Register

W 14 INSURANCE MARKET LAW Insurance Hiring

Retirement Scheme;

Individual Capitalization investment

Pension Plan

Marine and Aviation Insurances

Reinsurance

Assessment system

% End-of-term-examination: 40%

Minimum exam grade 33/100

- Exam date: Dec., 2016/Jan. 2017

% Continuous assessment (assignments, participation, practical, research project et al.): 60%