



Universidad
Carlos III de Madrid

COURSE: Financial Systems and Institutions

DEGREE:

YEAR: 3

TERM: 1

WEEKLY PROGRAMMING

WEEK	SESSION	DESCRIPTION	GROUPS		Special room for session	WEEKLY PROGRAMMING FOR STUDENT		
			LECTURES	SEMINAR		DESCRIPTION	CLASS HOURS	HOMEWORK HOURS Maximum 7 H
1	1	Theme 1 Introduction: definitions and basic concepts	X			Study of the class notes and required readings. Analysis of articles and web content.	1.5	6H
1	2	Theme 1 Introduction: Assets markets banks and other intermediaries and regulators.		X		Study of the class notes and required readings. Analysis of articles and web content.	1.5	
2	3	Theme 2 Financial Markets Learn the types and basic rules of Financial Markets	X			Study of the class notes and required readings. Analysis of articles and web content.	1.5	6H
2	4	Theme 2 Financial Markets Learn the important role of Law in the Financial Markets (MiFID)		X		Study of the class notes and required readings. Analysis of articles and web content.	1.5	
3	5	Theme 3 Regulators Description and rules of the different types of regulators in the Financial System. Central Banks, Security Commissions, etc.	X			Study of the class notes and required readings. Analysis of articles and web content.	1.5	6H
3	6	Theme 3 Regulators Description of the role of Central Banks, Security Exchange Commissions, etc.		X		Study of the class notes and required readings. Analysis of articles and web content.	1.5	
4	7	Theme 4 Monetary Policy Basic pillars of MP. How we define it.	X			Study of the class notes and required readings. Analysis of articles and web content.	1.5	6H
4	8	Theme 4 Monetary Policy How we execute it and the instruments we use to do it.		X		Study of the class notes and required readings. Analysis of articles and web content.	1.5	

5	9	Theme 5 Government Debt Market Learn the role of the treasury, the government and the central bank issuing debt.	X			Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	6H
5	10	Theme 5 Government Debt Market Learn the government debt primary and secondary market.		X		Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	
6	11	Theme 6 Interbank market Understand the type of instruments, the agents and the interbank markets.	X			Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	6H
6	12	Theme 6 Interbank market Learn the important role of this market.		X		Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	
7	13	Theme 7 Bank intermediaries Understanding the business of banking intermediation, types of operations, of its balance sheet and P&L.	X			Study of the class notes and required readings. Analysis of articles and web content.	1.5	6H
7	14	Theme 7 Bank intermediaries Knowing the types of intermediaries in the banking system and its characteristics, its historical background and evolution of the business.		X		Study of the class notes and required readings. Analysis of articles and web content.	1.5	
8	15	Theme 8 Solvency Understand the role of solvency in modern financial markets and in the banking industry regarding supervision and intervention.	X			Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	6H
8	16	Theme 8 Solvency Understanding the evolution of calculating the solvency ratio and the effects of risk management. Knowing the operation of the Fondo de Garantía de Depósitos and the Cash Ratio. .		X		Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	
9	17	Theme 9 Stock Exchange Understanding the stock market functions, its usefulness, its functions and structure of the Spanish Market.	X			Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	6H
9	18	Theme 9 Stock Exchange Knowing the situation of the Spanish market, comparing their integration with other countries.		X		Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	

10	19	Theme 10. Private Debt Market Understand the operation of private debt market, the types of assets, their valuation and their primary and secondary markets.	X			Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	6H
10	20	Theme 10. Private Debt Market Understand the operation of private debt market, the types of assets, their valuation and their primary and secondary markets.		X		Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	
11	21	Theme 11. Derivatives Market Understand the concept of derivative, their types, characteristics of each type, including mandates generated and their use.	X			Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	6H
11	22	Theme 11. Derivatives Market Knowing how the market works and its participants. Being able to value a derivative, calculate its performance and possible ways of delivery.		X		Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	
12	23	Theme 12. Forex Understand the concept of currency, exchange rates, market functions, their segments and features.	X			Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	6H
12	24	Theme 12. Forex Understand how it works and its main market places. Know the theories for determining the exchange rate and foreign exchange strategies.		X		Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	
13	25	Theme 13. Investment Funds Knowing the different types of Collective Investment Institutions, its structure and operation, the advantages and disadvantages they offer, compared to individual investment.	X			Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	6H
13	26	Theme 13. Investment Funds Understanding the restrictions they are subject of and the various types of management that are used.		X		Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	
14	27	Theme 14. Financial Credit Institutions Knowing all types of FCIs, its operation, its usefulness, its structure and main elements of regulation that affects them.	X			Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	6H
14	28	Theme 14. Financial Credit Institutions Knowing all types of FCIs, its operation, its usefulness, its structure and main elements of regulation that affects them.		X		Study of the class notes and required readings. Analysis of articles and web content. Solve some exercises	1.5	
SUBTOTAL							42	+ 84 = 126
15		Tutorials, handing in, etc						
16-18		Assessment					3	21
TOTAL							126+24=150	