

Academic Year: (2024 / 2025)

Review date: 06-05-2024

Department assigned to the subject: Computer Science and Engineering Department

Coordinating teacher: IGLESIAS MAQUEDA, ANA MARIA

Type: Electives ECTS Credits : 3.0

Year : 1 Semester : 2

REQUIREMENTS (SUBJECTS THAT ARE ASSUMED TO BE KNOWN)

None

OBJECTIVES

- The aim of this course is for students to develop analytical and critical thinking skills that enable them to understand financial regulation through the basic principles that underpin it.
- The course explains phenomena such as the globalisation of law and the constant competition in which states participate to attract the market through the quality and specialisation of their regulatory and administrative innovations and their courts.
- Students will acquire knowledge about the main financial market regulations at European level (directives and regulations) and learn the procedure for the creation of rules within the European Union.
- To possess and understand knowledge that provides a basis or opportunity to be original in the development and/or application of ideas, and to be able to propose innovative solutions.
- Students must be able to integrate knowledge and face the complexity of formulating judgements based on incomplete or limited information, including reflections on the social and ethical responsibilities linked to the application of their knowledge and judgements.
- Understand the main concepts related to financial markets.

DESCRIPTION OF CONTENTS: PROGRAMME

1. Introduction to financial regulation: origin and evolution.
2. European versus local regulation. Role of ESMA
3. Overview of the main European regulatory initiatives.
4. International regulation
5. Effects of Regulation on Information Technology Systems
6. Compliance
7. Data protection legislation

LEARNING ACTIVITIES AND METHODOLOGY**LEARNING ACTIVITIES:**

AF1: Theoretical class: Theoretical presentations accompanied by electronic material, such as digital presentations: Presenciality: 100%

AF5: Tutorials: Tutorials face-to-face and / or distance learning (videoconference): Presenciality: 100%

AF2: E-learning activities: Forums of subjects, discussion forums, visualization of pre-recorded content, and other e-learning activities: Presenciality: 0%

AF7: Individual work of the student: Individual activities of the student complementing the rest of activities (both face-to-face and non-contact), as well as the preparation of exams: Presenciality: 0%

TEACHING METHODS:

MD1: Teacher's class with support of computer and audiovisual media, in which the main concepts of the subject are developed and the bibliography is provided to complement the students' learning.

MD4: Discussion in class, under the moderation of the teacher of subjects related to the content of the

subject, as well as of practical cases

MD5: Development of work and reports individually or in groups

MD6: Specific e-learning activities, related to the semi-presential character of the title, including the visualization of recorded contents, self-correction activities, participation in forums, and any other mechanism of online teaching

This subject includes contents of mainly theoretical nature. For this reason, training activities related to the development of practices and/or laboratories are not included.

Intensive use of e-learning methodologies will be used for the presentation of contents and the acquisition of competences by the students. To do this, magisterial classes will be recorded with the theoretical contents that can be visualized off-line by the students. Some content may be acquired by students through individual study supported by tutorials, as well as other e-learning activities. What specific contents are received following some mechanisms or others will be defined at the teacher's discretion, having a ratio of approximately 50% between the face-to-face and the e-learning

ASSESSMENT SYSTEM

% end-of-term-examination:	60
% of continuous assessment (assignments, laboratory, practicals...):	40

SE1: Class participation: 20%.

SE2/SE3: Individual or group work done during the course, both in face-to-face and e-learning activities: 20%.

SE4: Final exam: 60%.

BASIC BIBLIOGRAPHY

- Ballbé, M.; Cabedo, Y. La necesidad de administraciones reguladoras en Latinoamérica para prevenir los ataques especulativos, los riesgos financieros y la defensa de los consumidores, Revista del CLAD Reforma y Democracia, núm. 57,p. 5-36, 2013

- Ballbé, M.; Cabedo, Y. El ataque alemán desahucia a España, El País, 29/11/2012

- Ballbé, Martinez, Cabedo, Y. La crisis financiera causada por la ¿deregulation¿ del derecho norteamericano. Las hipotecas depredadoras, Administración y justicia. Thomson Reuters, Madrid. p. 3723-3744., 2012

- Cabedo, Y OTC market regulatory reform: risks of the clearing obligation from a competition perspective, Risk & Regulation Magazine. London School of Economics, 2016

- Santiago Muñoz Machado y José Esteve Pardo (dirs.) Derecho de la regulación económica. I. Fundamentos e instituciones de la regulación., Iustel, 2009