Stock Market

Academic Year: (2020 / 2021) **Review date: 02-07-2020**

Department assigned to the subject: Private Law Department

Coordinating teacher: RODRIGUEZ DELGADO, JUAN PABLO

Type: Electives ECTS Credits : 3.0

Year : 1 Semester : 2

OBJECTIVES

- Ability of conflict resolution in contexts that are new or unfamiliar in multidisciplinary situations.
- Ability to face complex situations and solve problems with limited information
- Ability to transmit and communicate conclusions and outcomes both to specialized and non-specialized publics on a clear and unambiguous basis
- Provide legal advice in regulated sectors both at an international level and a domestic one
- Identify conflicts of interests and manage resolution techniques in the organizational, management and commercial context of regulated sectors
- Assess the array of liabilities likely to arise from the provision of professional services in regulated sectors
- Provide legal advice to international companies wiling to invest in regulated sectors
- Develop skills to improve consumer protection in regulated markets
- Teamwork in specific, interdisciplinary and international contexts in order to integrate diverse contributions towards a common goal.

DESCRIPTION OF CONTENTS: PROGRAMME

1.- Negotiable instruments and securities: concept and legal rules
2.- Exchange markets and Multilateral Trading Systems
3.- Exchange Market Operators
4.- Going public. Public Offering
5.- Markets, intermediaries and services. New intermedairies (Crowdfunding and ATS). Algoritmic negotiation.
6.- Supervisory model: (CNMV) SROs
7.- Activities under supervision
8.- Inspection and sanction
9.- Review and jurisdictional revision

LEARNING ACTIVITIES AND METHODOLOGY

Learning activities:

Lectures
Tutorials
Teamwork
Individual study

Teaching methodologies

- debates and critical discussions
- case study

- practical assignments: drafting, conflict resolution, negotiations, prelegislative tasks, regulatory and supervision issues, case law analysis, preparing documents, report drafting

- theoretical and practical lectures

ASSESSMENT SYSTEM

Given the essentially practical orientation of activities and teaching methodologies, assessment system will be:

Graded assignments to be presented in class (in groups or on an individual basis) 10% (SE2 and SE3)

Final exam (90%) (SE5)

% end-of-term-examination:	90
% of continuous assessment (assigments, laboratory, practicals…):	10

BASIC BIBLIOGRAPHY

- NIAMH MOLONEY EU SECURITIES AND FINANCIAL MARKET, OXFORD UNIVERSITY PRESS, 2016